

Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. application of: Douglas R. Elliott

For: METHOD OF REPEATEDLY SECURITIZING INTELLECTUAL
PROPERTY ASSETS AND FACILITATING INVESTMENTS
THEREIN

U.S. Serial No.: 09/758,624

Filed: January 11, 2001

Group Art Unit: 2165

Examiner: N/A

RECEIVED

OCT 23 2001

Technology Center 2100

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Attn: Application Processing Division, Washington, DC 20231, on:	
Date of Deposit	<i>September 28, 2001</i>
Name:	<i>Garold O. Carpenter</i>

REQUEST FOR CORRECTED FILING RECEIPT

On September 7, 2001, applicants were advised by the Patent and Trademark Office that the Request for a Corrected Filing Receipt which was filed on May 25, 2001, was not granted because continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship of the applications.

Applicants respectfully request issuance of a corrected Filing Receipt reporting the continuing data as follows:

This application is a Continuation-in-Part of U. S. Application Serial Number 09/481,126 filed on January 11, 2000, which claims benefit of Provisional Application Serial No. 60/175,572, filed on January 11, 2000.

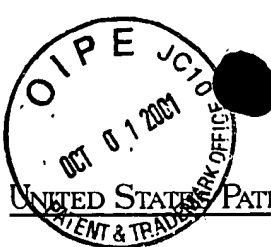
If a fee is required, The Commissioner is hereby authorized to charge it to Deposit Account
No. 50-0605, CARR & STORM, L.L.P.

Respectfully submitted,
CARR & STORM, L.L.P.



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File: TEQ 1117005



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/758,624	01/11/2001	Douglas R. Elliott	TEQ 1117005

21909
CARR & STORM, L.L.P.
900 JACKSON STREET
670 FOUNDERS SQUARE
DALLAS, TX 75202

DOCKETED

CONFIRMATION NO. 8961



OC000000006525272

Date Mailed: 09/07/2001

RESPONSE TO REQUEST CORRECTED FILING RECEIPT

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Claims, Fees, Inventors and Continuity
Technology Center 2100

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☒ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).
- ☐ Foreign priority will appear on the Filing Receipt in the following order:
Country, Application number, Filing date.
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
- ☐ The enclosed Filing Receipt was returned to the Office as undeliverable. We are sending a second filing receipt to the address given.

- ☐ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

A copy of this notice MUST be returned with the reply.

R.B.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE